

# A CONJECTURE OF WATKINS FOR QUADRATIC TWISTS

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ABSTRACT. Watkins conjectured that for an elliptic curve  $E$  over  $\mathbb{Q}$  of Mordell-Weil rank  $r$ , the modular degree of  $E$  is divisible by  $2^r$ . If  $E$  has non-trivial rational 2-torsion, we prove the conjecture for all the quadratic twists of  $E$  by squarefree integers with sufficiently many prime factors.

## 1. RANKS AND MODULAR DEGREE

For an elliptic curve  $E$  over  $\mathbb{Q}$  of conductor  $N$ , the modularity theorem [25, 23, 4] gives a non-constant morphism  $\phi_E : X_0(N) \rightarrow E$  defined over  $\mathbb{Q}$  where  $X_0(N)$  is the modular curve associated to the congruence subgroup  $\Gamma_0(N) \subseteq SL_2(\mathbb{Z})$ . We assume that  $\phi_E$  has minimal degree and that it maps the cusp  $i\infty$  to the neutral point of  $E$ . These requirements uniquely determine  $\phi_E$  up to sign. The *modular degree* of  $E$  is  $m_E = \deg \phi_E$  and it has profound arithmetic relevance; for instance, polynomial bounds for its size in terms of  $N$  are essentially equivalent to the *abc* conjecture [11, 17].

The 2-adic valuation is denoted by  $v_2$ . Motivated by numerical data, Watkins [24] conjectured that  $v_2(m_E)$  for an elliptic curve  $E$  is closely related to the Mordell-Weil rank of  $E$  over  $\mathbb{Q}$ .

**Conjecture 1.1** (Watkins). *For every elliptic curve  $E$  over  $\mathbb{Q}$  we have  $\text{rank } E(\mathbb{Q}) \leq v_2(m_E)$ .*

Dummigan [8] showed that part of the conjecture would follow from strong  $R = \mathbb{T}$  conjectures. Also, large part of Watkins' conjecture is proved for elliptic curves of odd modular degree [5, 26, 12, 13], although it is not known whether there exist infinitely many elliptic curves of this kind [21].

The goal of this note is to prove Watkins' conjecture unconditionally in several new cases. Let us introduce some notation. For an elliptic curve  $E$  and a fundamental (quadratic) discriminant  $D$ , the quadratic twist of  $E$  by  $D$  is denoted by  $E^{(D)}$ . The Manin constant of  $E$  is denoted by  $c_E$  (cf. Section 2.3). The number of distinct prime factors of an integer  $n$  is  $\omega(n)$ .

**Theorem 1.2.** *Let  $E$  be an elliptic curve over  $\mathbb{Q}$  of conductor  $N$  with non-trivial rational 2-torsion. Assume that  $E$  has minimal conductor among its quadratic twists. If  $D$  is a fundamental discriminant with  $\omega(D) \geq 6 + 5\omega(N) - v_2(m_E/c_E^2)$ , then Watkins' conjecture holds for  $E^{(D)}$ .*

The quantity  $6 + 5\omega(N) - v_2(m_E/c_E^2)$  is effectively computable and it can be read from existing tables of elliptic curves when  $N$  is not too large, see for instance [14].

For a positive integer  $A$ , it is a standard result of analytic number theory that the number of positive integers  $n$  up to  $x$  having  $\omega(n) \leq A$  is  $O(x(\log \log x)^{A-1}/\log x)$ . We deduce:

**Corollary 1.3.** *Let  $E$  be an elliptic curve over  $\mathbb{Q}$  with non-trivial rational 2-torsion. There is an effective constant  $\kappa(E)$  depending only on  $E$  such that the number of fundamental discriminants  $D$  with  $|D| \leq x$  such that Watkins' conjecture fails for  $E^{(D)}$  is bounded by  $O(x(\log \log x)^{\kappa(E)}/\log x)$ .*

Let us remark that in the cases where we prove Watkins' conjecture our argument actually shows that  $v_2(m_{E^{(D)}})$  bounds the 2-Selmer rank, which is a stronger version of Watkins' conjecture.

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## 2. PRELIMINARIES

**2.1. Faltings height.** Let  $E$  be an elliptic curve over  $\mathbb{Q}$ . We denote by  $\omega_E$  a global Neron differential for  $E$ ; it is unique up to sign. The Faltings height of  $E$  (over  $\mathbb{Q}$ ) is defined as certain Arakelov degree [10], which in our case takes the simpler form [19]

$$(2.1) \quad h(E) = -\frac{1}{2} \log \left( \frac{i}{2} \int_{E(\mathbb{C})} \omega_E \wedge \overline{\omega_E} \right).$$

Ramanujan's cusp form is  $\Delta(z) = q \prod_{n=1}^{\infty} (1 - q^n)^{24}$  where  $q = \exp(2\pi iz)$ , defined on the upper half plane  $\mathfrak{h} = \{z \in \mathbb{C} : \Im(z) > 0\}$ . The modular  $j$ -function is normalized as  $j(z) = q^{-1} + 744 + \dots$

The global minimal discriminant of  $E$  is denoted by  $\Delta_E$ . If  $\tau_E \in \mathfrak{h}$  satisfies that  $j(\tau_E)$  is the  $j$ -invariant of  $E$ , then the Faltings height admits the expression [22, 19]

$$(2.2) \quad h(E) = \frac{1}{12} (\log |\Delta_E| - \log |\Delta(\tau_E) \Im(\tau_E)^6|) - \log(2\pi).$$

Given elliptic curves  $E_1, E_2$  over  $\mathbb{Q}$ , let us define  $\delta(E_1, E_2) = \exp(2h(E_1) - 2h(E_2))$ .

**Lemma 2.1** (Variation of  $h(E)$  under quadratic twist). *Let  $E_1$  be an elliptic curve over  $\mathbb{Q}$  and let  $E_2$  be a quadratic twist of  $E_1$ . Then  $\delta(E_1, E_2)$  is a rational number and it satisfies  $|v_2(\delta(E_1, E_2))| \leq 3$ .*

*Proof.* We use (2.2) for both  $E_1$  and  $E_2$ . The elliptic curves are isomorphic over  $\mathbb{C}$ , so we can take  $\tau_{E_1} = \tau_{E_2}$  which gives  $\delta(E_1, E_2) = |\Delta_{E_1}/\Delta_{E_2}|^{1/6}$ . The result follows from explicit formulas for the variation of the minimal discriminant under quadratic twists, cf. Proposition 2.4 in [18].  $\square$

**2.2. Petersson norm.** For a positive integer  $N$ , let  $S_2(N)$  be the space of weight 2 cuspidal holomorphic modular forms for the congruence subgroup  $\Gamma_0(N)$  acting on  $\mathfrak{h}$ . Given  $f \in S_2(N)$ , its Fourier expansion is  $f(z) = a_1(f)q + a_2(f)q^2 + \dots$  where  $q = \exp(2\pi iz)$  and the numbers  $a_n(f)$  are the Fourier coefficients of  $f$ . The Petersson norm of  $f$  relative to  $\Gamma_0(N)$  is defined by

$$\|f\|_N = \left( \int_{\Gamma_0(N) \backslash \mathfrak{h}} |f(z)|^2 dx \wedge dy \right)^{1/2}, \quad z = x + iy \in \mathfrak{h}.$$

The norm depends on the choice of  $N$  in the following sense: If  $N|M$  and  $f \in S_2(N)$ , then we certainly have  $f \in S_2(M)$ , and  $\|f\|_M^2 = [\Gamma_0(N) : \Gamma_0(M)] \cdot \|f\|_N^2$ .

We need some additional notation. For an elliptic curve  $E$  over  $\mathbb{Q}$  of conductor  $N$  we denote by  $f_E \in S_2(N)$  the Hecke newform attached to  $E$  by the modularity theorem, normalized by  $a_1(f_E) = 1$ . The modular form  $f_E$  is characterized by the following property: If  $p$  is a prime of good reduction for  $E$  and we define  $a_p(E) = p + 1 - \#E(\mathbb{F}_p)$ , then  $a_p(f_E) = a_p(E)$ . For a fundamental discriminant  $D$ , let  $\mathcal{P}(D, N)$  be the set of primes  $p$  with  $p|D$  and  $p \nmid 2N$ .

**Lemma 2.2** (Variation of the Petersson norm under quadratic twist). *Let  $E$  be an elliptic curve over  $\mathbb{Q}$  and let  $D$  be a fundamental discriminant. Let  $N$  and  $N^{(D)}$  be the conductors of  $E$  and  $E^{(D)}$  respectively, and assume that  $N|N^{(D)}$ . Then  $\|f_{E^{(D)}}\|_{N^{(D)}}^2 / \|f_E\|_N^2 \in \mathbb{Q}^\times$  and we have*

$$v_2(\|f_{E^{(D)}}\|_{N^{(D)}}^2 / \|f_E\|_N^2) + 1 \geq \sum_{p \in \mathcal{P}(D, N)} v_2((p-1)(p+1-a_p(E))(p+1+a_p(E))).$$

*Proof.* The quadratic Dirichlet character attached to  $D$  has conductor  $|D|$ . The result follows from the precise formula given in Theorem 1 of [7] when one only keeps the contribution of  $p = 2$  and the primes  $p \in \mathcal{P}(D, N)$  —the product of the latter primes is denoted by  $D_1$  in *loc. cit.*  $\square$

We remark that the terms  $(p-1)(p+1-a_p(E))(p+1+a_p(E))$  have a clear conceptual origin; they come from Euler factors of the imprimitive symmetric square  $L$ -function  $L(\text{Sym}^2 f_E, s)$  that are removed by twisting, and  $L(\text{Sym}^2 f_E, 2)$  is (up to a mild factor) equal to  $\|f_E\|_N^2$ . See [27, 7, 24].

**2.3. Manin constant.** Given an elliptic curve  $E$  over  $\mathbb{Q}$  of conductor  $N$ , we have that  $\phi_E^* \omega_E$  is a regular differential on  $X_0(N) = \Gamma_0(N) \backslash \mathfrak{h} \cup \{\text{cusps}\}$ . More precisely

$$(2.3) \quad \phi_E^* \omega_E = 2\pi i c_E f_E(z) dz$$

where  $c_E$  is a rational number uniquely defined up to sign. We assume that the signs of  $\phi_E$  and  $\omega_E$  are chosen such that  $c_E > 0$ . It follows from (2.1) and (2.3) that (cf. [22, 19])

$$(2.4) \quad m_E = 4\pi^2 c_E^2 \|f_E\|_N^2 \exp(2h(E)).$$

The quantity  $c_E$  is called the Manin constant, and a fundamental fact is

**Lemma 2.3** (cf. [9]). *The Manin constant  $c_E$  is an integer.*

We recall that Manin [15] conjectured that if  $E$  is a strong Weil curve in the sense that  $m_E$  is minimal within the isogeny class of  $E$ , then  $c_E = 1$ . See [16, 3, 2, 6] and the references therein.

### 3. CONSEQUENCES FOR WATKINS' CONJECTURE

**Lemma 3.1.** *Let  $E$  be an elliptic curve over  $\mathbb{Q}$  of conductor  $N$  and suppose that  $E$  has minimal conductor among its quadratic twists. Let  $D$  be a fundamental discriminant. Then*

$$v_2(m_{E(D)}) \geq v_2(m_E/c_E^2) - 4 + \sum_{p \in \mathcal{P}(D, N)} v_2((p-1)(p+1 - a_p(E))(p+1 + a_p(E))).$$

*Proof.* Applying (2.4) to  $E$  and  $E^{(D)}$  we find

$$\frac{m_{E(D)}}{m_E} = \frac{c_{E(D)}^2}{c_E^2} \cdot \frac{\|f_{E(D)}\|_{N(D)}^2}{\|f_E\|_N^2} \cdot \delta(E^{(D)}, E).$$

The result follows from lemmas 2.1, 2.2, and 2.3.  $\square$

**Proposition 3.2.** *Let  $E$  be an elliptic curve over  $\mathbb{Q}$  of conductor  $N$  with non-trivial rational 2-torsion and suppose that  $E$  has minimal conductor among its quadratic twists. Let  $D$  be a fundamental discriminant. We have  $v_2(m_{E(D)}) \geq 3\omega(D) + v_2(m_E/c_E^2) - (7 + 3\omega(N))$ .*

*Proof.* As  $E(\mathbb{Q})[2]$  is non-trivial and it maps injectively into  $E(\mathbb{F}_p)$  for every prime  $p \nmid 2N$ , we have  $p+1 \equiv a_p(E) \pmod{2}$  for these primes. We get  $v_2(m_{E(D)}) \geq v_2(m_E/c_E^2) - 4 + 3 \cdot \#\mathcal{P}(D, N)$  from Lemma 3.1, and the result follows from  $\#\mathcal{P}(D, N) \geq \omega(D) - \omega(2N) \geq \omega(D) - \omega(N) - 1$ .  $\square$

The following upper bound for the Mordell-Weil rank is standard and it comes from a bound for a 2-isogeny Selmer rank (cf. Section X.4 in [20]; see also [1]).

**Lemma 3.3.** *Let  $E$  be an elliptic curve over  $\mathbb{Q}$  of conductor  $N$  with non-trivial rational 2-torsion. Then  $\text{rank } E(\mathbb{Q}) \leq 2\omega(N) - 1$ .*

*Proof of Theorem 1.2.* Since  $E^{(D)}[2] \simeq E[2]$  as Galois modules and  $E$  has non-trivial rational 2-torsion, we can use Lemma 3.3 for  $E^{(D)}$ , which gives

$$\text{rank } E^{(D)}(\mathbb{Q}) \leq 2\omega(N^{(D)}) - 1 \leq 2(\omega(D) + \omega(N)) - 1.$$

If Watkins' conjecture fails for  $E^{(D)}$ , then Proposition 3.2 would give

$$2(\omega(D) + \omega(N)) - 1 \geq v_2(m_{E(D)}) + 1 \geq 3\omega(D) + v_2(m_E/c_E^2) - 6 - 3\omega(N).$$

This is not possible when  $\omega(D) \geq 6 + 5\omega(N) - v_2(m_E/c_E^2)$ .  $\square$

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